

# Profunctor optics: a categorical update

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*Mario Román*, Bryce Clarke, Fosco Loregian, Emily Pillmore,  
Derek Elkins, Bartosz Milewski and Jeremy Gibbons

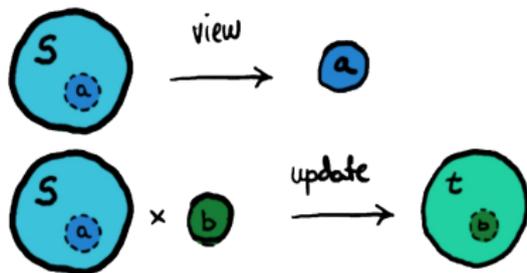
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SYCO 5, UNIVERSITY OF BIRMINGHAM

## **Part 1: Motivation**

## Definition (Oles, 1982)

$$\text{Lens} \left( \left( \begin{array}{c} a \\ b \end{array} \right), \left( \begin{array}{c} s \\ t \end{array} \right) \right) = \text{Sets}(s, a) \times \text{Sets}(s \times b, t).$$



(example)

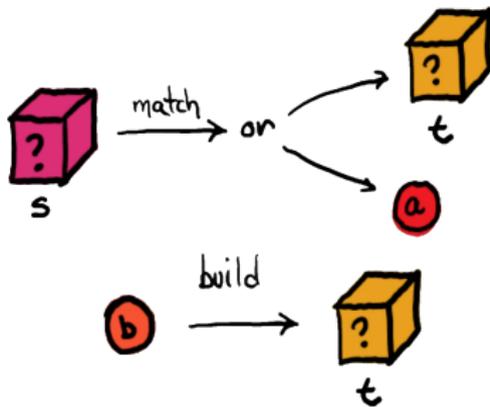
view: **Postal**  $\rightarrow$  **Street**

update: **Postal**  $\times$  **Street**  $\rightarrow$  **Postal**

# Prisms (alternatives)

## Definition

$$\text{Prism} \left( \left( \begin{array}{c} a \\ b \end{array} \right), \left( \begin{array}{c} s \\ t \end{array} \right) \right) = \text{Sets}(s, t + a) \times \text{Sets}(b, t).$$

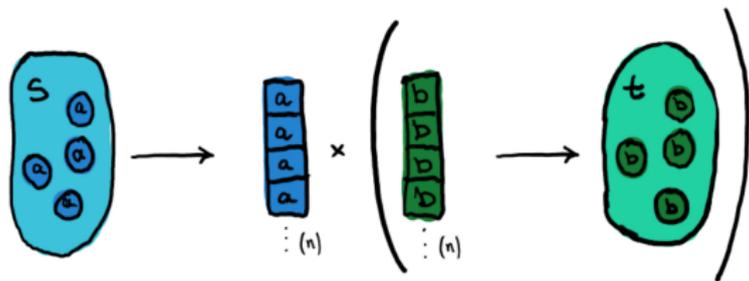


(example) match: `String`  $\rightarrow$  `String` + `Postal`  
build: `Postal`  $\rightarrow$  `String`

# Traversals (and multiple foci)

## Definition

$$\text{Traversal} \left( \left( \begin{pmatrix} s \\ t \end{pmatrix}, \begin{pmatrix} a \\ b \end{pmatrix} \right) \right) = \text{Sets} \left( s, \sum_n a^n \times (b^n \rightarrow t) \right).$$



(example)  $\text{extract} : \text{MailList} \rightarrow \sum_{n \in \mathbb{N}} \text{Email}^n \times (\text{Email}^n \rightarrow \text{MailList})$

## This is not modular

How to compose **two lenses**? How to compose a **Prism** with a **Lens**?

$$\begin{pmatrix} s \\ t \end{pmatrix} \xrightarrow[\text{prism}]{m, b} \begin{pmatrix} a \\ b \end{pmatrix} \xrightarrow[\text{lens}]{v, u} \begin{pmatrix} x \\ y \end{pmatrix}$$

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Every case (Prism+Lens, Lens+Prism, Traversal+Prism+Other...) needs special attention.  
For instance, a lens and a prism

$$\begin{array}{ll} \mathbf{v} \in \mathbf{Sets}(s, t + a) & \mathbf{m} \in \mathbf{Sets}(a, x) \\ \mathbf{u} \in \mathbf{Sets}(b, t) & \mathbf{b} \in \mathbf{Sets}(a \times y, b) \end{array}$$

can be composed into the following morphism, which is neither a lens nor a prism.

$$\mathbf{m} \circ [\text{id}_t, \mathbf{v} \times \Lambda(\mathbf{b} \circ \mathbf{u})] \in \mathbf{Sets}(s, t + x \times (y \rightarrow t)).$$

## This is not modular (in code)

```
-- Given a lens and a prism,
viewStreet    :: Postal -> Street
updateStreet  :: Postal -> Street -> Postal
matchAddress  :: String -> Either String Postal
buildAddress  :: Postal -> String

-- the composition is neither a lens nor a prism.
parseStreet :: String -> Either String (Street , Street -> Postal)
parseStreet s = case matchAddress s of
  Left  addr -> Left  addr
  Right post -> Right (viewStreet post, updateStreet post)
```

Perhaps surprisingly, some optics are equivalent to parametric functions over profunctors.

- **Lenses** are parametric functions.

$$\mathbf{Sets}(s, a) \times \mathbf{Sets}(s \times b, t) \cong \forall p \in \mathbf{Tmb}(\times). p(a, b) \rightarrow p(s, t)$$

- **Prisms** are parametric functions.

$$\mathbf{Sets}(a, a + x) \times \mathbf{Sets}(y, b) \cong \forall p \in \mathbf{Tmb}(+). p(x, y) \rightarrow p(a, b)$$

Where  $p \in \mathbf{Tmb}(\otimes)$  is called a *Tambara module*; this means we have a natural transformation  $p(a, b) \rightarrow p(c \otimes a, c \otimes b)$  subject to some conditions

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## This solves composition

Now composition of optics is just *function composition*. From  $p(a, b) \rightarrow p(s, t)$  and  $p(x, y) \rightarrow p(a, b)$  we can get  $p(x, y) \rightarrow p(s, t)$ .

## An example in Haskell

```
-- Haskell code --
```

```
let address = "15 Parks Rd, OX1 3QD, Oxford"
```

```
address^.postal
```

```
-- Street: 15 Parks Rd
```

```
-- Code:   OX1 3QD
```

```
-- City:   Oxford
```

```
address^.postal.street
```

```
-- "15 Parks Rd"
```

```
address^.postal.street <~ "7 Banbury Rd"
```

```
-- "7 Banbury Rd, OX1 3QD, Oxford"
```

```
-----
```

- **Existential optics:** a definition of optic.
- **Profunctor optics:** on optics as parametric functions.
- **Composing optics:** on how composition works.
- **Case study:** on how to invent an optic.
- **Further work:** and implementations.

## **Part 2: Existential optics**

*Ends* and *Coends* over a profunctor  $p: \mathbf{C}^{op} \times \mathbf{C} \rightarrow \mathbf{Sets}$  are special kinds of (co)limits, (co)equalizing its right and left mapping.

$$\int_{x \in \mathbf{C}} p(x, x) \longrightarrow \prod_{x \in \mathbf{C}} p(x, x) \begin{array}{c} \xrightarrow{p(\text{id}, f)} \\ \xleftarrow{p(f, \text{id})} \end{array} \prod_{f: a \rightarrow b} p(a, b)$$

$$\bigsqcup_{f: b \rightarrow a} p(a, b) \begin{array}{c} \xrightarrow{p(\text{id}, f)} \\ \xleftarrow{p(f, \text{id})} \end{array} \bigsqcup_{x \in \mathbf{C}} p(x, x) \longrightarrow \int^{x \in \mathbf{C}} p(x, x)$$

Intuitively, a *natural* universal quantifier (ends) and existential quantifier (coends).

Natural transformations can be rewritten in terms of ends. For any  $F, G: \mathbf{C} \rightarrow \mathbf{D}$ ,

$$\text{Nat}(F, G) = \int_{x \in \mathbf{C}} \mathbf{D}(Fx, Gx).$$

We can compute (co)ends using the [Yoneda lemma](#).

$$\int_{x \in \mathbf{C}} \mathbf{Sets}(\mathbf{C}(x, a), Gx) \cong Ga,$$
$$\int^{x \in \mathbf{C}} Fx \times \mathbf{C}(a, x) \cong Fa.$$

Continuity of the hom functor takes the following form.

$$\mathbf{D} \left( \int^{c \in \mathbf{C}} p(c, c), d \right) \cong \int_{c \in \mathbf{C}} \mathbf{D}(p(c, c), d),$$
$$\mathbf{D} \left( d, \int_{c \in \mathbf{C}} p(c, c) \right) \cong \int_{c \in \mathbf{C}} \mathbf{D}(d, p(c, c)).$$

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## A definition of "optic"

### Definition (Milewski, Boisseau/Gibbons, Riley, generalized)

Fix a monoidal category  $\mathbf{M}$  with a strong monoidal functor  $(\_): \mathbf{M} \rightarrow [\mathbf{C}, \mathbf{C}]$ . Let  $s, t, a, b \in \mathbf{C}$ ; an **optic** from  $(s, t)$  with *focus* on  $(a, b)$  is an element of the following set.

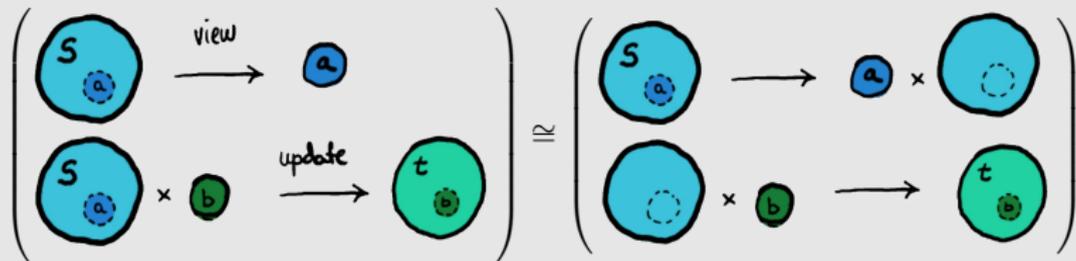
$$\mathbf{Optic} \left( \left( \begin{array}{c} a \\ b \end{array} \right), \left( \begin{array}{c} s \\ t \end{array} \right) \right) = \int^{m \in \mathbf{M}} \mathbf{C}(s, \underline{m}a) \times \mathbf{C}(\underline{m}b, t).$$

**Intuition:** The optic splits into some focus  $a$  and some *context*  $m$ . We cannot access that context, but we can use it to update.

# Lenses are optics

## Proposition (from Milewski, 2017)

Lenses are optics for the product.



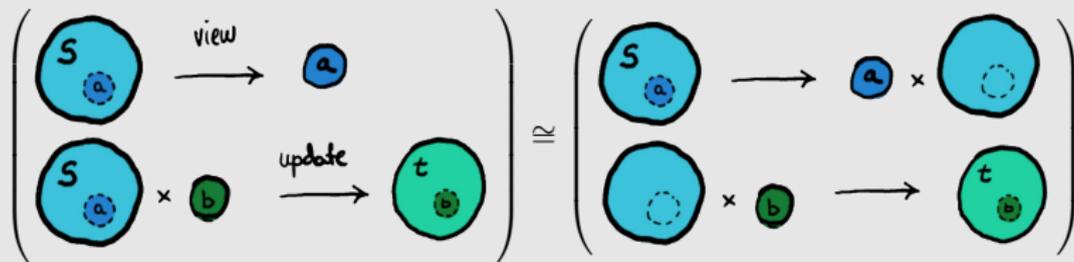
Proof.

$$\int^{c \in \mathbf{Sets}} \mathbf{Sets}(s, c \times a) \times \mathbf{Sets}(c \times b, t) \cong \quad (\text{Product})$$
$$\int^{c \in \mathbf{Sets}} \mathbf{Sets}(s, c) \times \mathbf{Sets}(s, a) \times \mathbf{Sets}(c \times b, t) \cong \quad (\text{Yoneda})$$
$$\mathbf{Sets}(s, a) \times \mathbf{Sets}(s \times b, t)$$

# Lenses are optics

**Proposition (from Milewski, 2017)**

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*Proof.*

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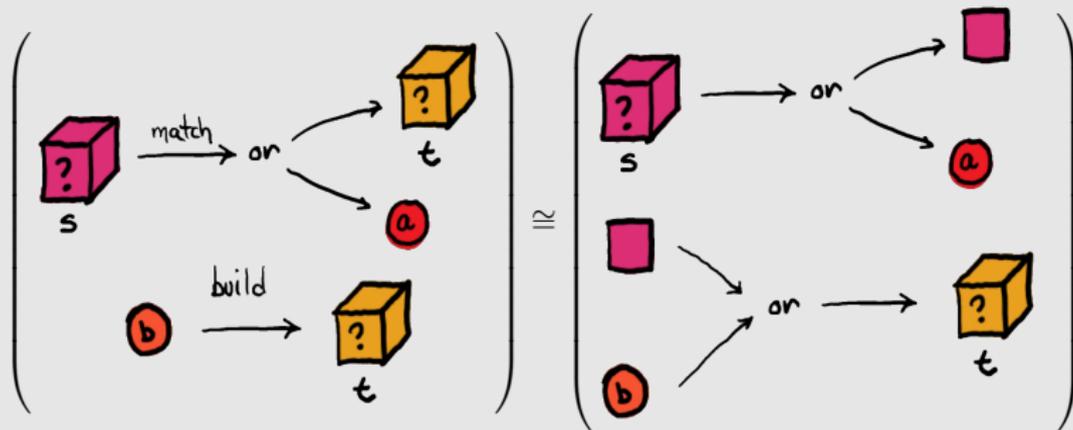
$$\int^{c \in \mathbf{Sets}} \mathbf{Sets}(s, c) \times \mathbf{Sets}(s, a) \times \mathbf{Sets}(c \times b, t) \cong \quad (\text{Yoneda})$$

$\mathbf{Sets}(s, a) \times \mathbf{Sets}(s \times b, t)$

# Prisms are optics

## Proposition (Milewski, 2017)

Dually, prisms are optics for the coproduct.



Proof.

$$\int^{m \in \mathbf{Sets}} \mathbf{Sets}(s, m + a) \times \mathbf{Sets}(m + b, t) \cong \quad (\text{Coproduct})$$

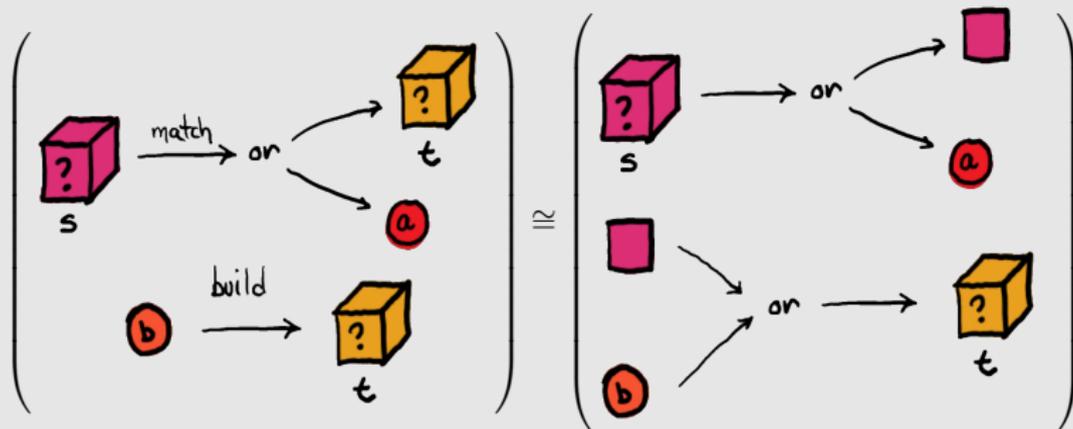
$$\int^{m \in \mathbf{Sets}} \mathbf{Sets}(s, m + a) \times \mathbf{Sets}(m, t) \times \mathbf{Sets}(b, t) \cong \quad (\text{Yoneda})$$

$$\mathbf{Sets}(s, t + a) \times \mathbf{Sets}(b, t)$$

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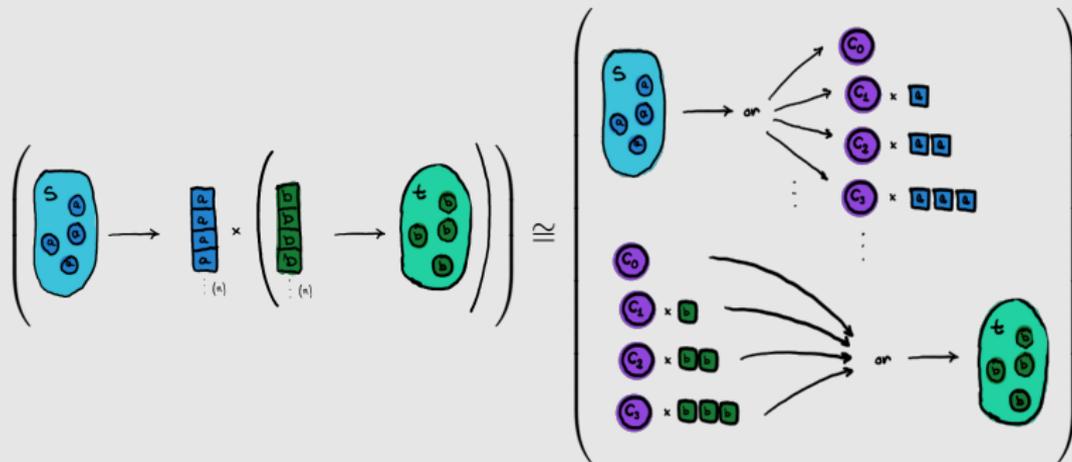
$\xrightarrow{m = t}$

$$\mathbf{Sets}(s, t + a) \times \mathbf{Sets}(b, t)$$

# Traversals are optics

## Theorem

Traversals are optics for the action of *polynomial functors*  $\sum_n c_n \times \square^n$ .



That is,

$$\int^c \mathbf{Sets}(s, \sum_n (c_n \times a^n)) \times \mathbf{Sets}(\sum_n (c_n \times b^n), t) \cong \mathbf{Sets}(s, \sum_n a^n \times (b^n \rightarrow t)).$$

## Traversals are optics: proof

Again by the Yoneda lemma, this time for functors  $c: \mathbb{N} \rightarrow \mathbf{Sets}$ .

$$\begin{aligned} \int^c \mathbf{Sets} \left( s, \sum_n c_n \times a^n \right) \times \mathbf{Sets} \left( \sum_n c_n \times b^n, t \right) &\cong \quad (\text{cocontinuity}) \\ \int^c \mathbf{Sets} \left( s, \sum_n c_n \times a^n \right) \times \prod_n \mathbf{Sets} (c_n \times b^n, t) &\cong \quad (\text{prod/exp adjunction}) \\ \int^c \mathbf{Sets} \left( s, \sum_n c_n \times a^n \right) \times \prod_n \mathbf{Sets} (c_n, b^n \rightarrow t) &\cong \quad (\text{natural transf. as an end}) \\ \int^c \mathbf{Sets} (s, \sum_n c_n \times a^n) \times [\mathbb{N}, \mathbf{Sets}] (c_{\square}, b^{\square} \rightarrow t) &\cong \quad (\text{Yoneda lemma}) \\ &\mathbf{Sets} \left( s, \sum_n a^n \times (b^n \rightarrow t) \right) \end{aligned}$$

Programming libraries use **traversable** functors to describe traversals. Polynomials are related to these *traversable* functors by a result of Jaskelioff/O'Connor.

# Traversals are optics: proof

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# Unification of optics

All the usual optics are of this form. Some new ones arise naturally.

Name	Concrete	Action
Adapter	$(s \rightarrow a) \times (b \rightarrow t)$	Identity
Lens	$(s \rightarrow a) \times (b \times s \rightarrow t)$	Product
Prism	$(s \rightarrow t + a) \times (b \rightarrow t)$	Coproduct
Grate	$((s \rightarrow a) \rightarrow b) \rightarrow t$	Exponential
Affine Traversal	$s \rightarrow t + a \times (b \rightarrow t)$	Product and coproduct
Glass	$((s \rightarrow a) \rightarrow b) \rightarrow s \rightarrow t$	Product and exponential
Traversal	$s \rightarrow \sum n. a^n \times (b^n \rightarrow t)$	Polynomials
Setter	$(a \rightarrow b) \rightarrow (s \rightarrow t)$	Any functor

### **Part 3: the Profunctor representation theorem**

For an action  $(\_) : \mathbf{M} \rightarrow [\mathbf{C}, \mathbf{C}]$ .

## Definition (from Pastro/Street)

A **Tambara module** is a profunctor  $p$  together with a family of morphisms satisfying some coherence conditions.

$$p(a, b) \rightarrow p(\underline{m}a, \underline{m}b), \quad m \in \mathbf{M}.$$

Pastro and Street showed they are **coalgebras** for a comonad.

$$\Theta(p)(a, b) = \int_{m \in \mathbf{M}} p(\underline{m}a, \underline{m}b).$$

Or equivalently, **algebras** for its left adjoint monad  $\Psi \dashv \Theta$ .

$$\Psi q(x, y) = \int^{m \in \mathbf{M}} \int^{a, b \in \mathbf{C}} q(a, b) \times \mathbf{C}(\underline{m}a, x) \times \mathbf{C}(y, \underline{m}b)$$

We call  $\mathbf{T}_{\mathbf{m}b}$  to the Eilenberg-Moore category for the monad, or equivalently, for the adjoint comonad.

## Theorem (Boisseau/Gibbons)

*Optics are functions parametric over Tambara modules.*

$$\mathbf{Optic}((a, b), (s, t)) \cong \int_{p \in \mathbf{Tmb}} \mathbf{Sets}(Up(a, b), Up(s, t))$$

*In fact,  $\mathbf{Optic}$  is the full subcategory on representable functors of the Kleisli category for  $\Psi$ .*

## Profunctor representation: proof

$$\int_{p \in \mathbf{Tmb}} \mathbf{Sets}(p(a, b), p(s, t)) \cong \text{(Yoneda lemma)}$$

$$\int_{p \in \mathbf{Tmb}} \mathbf{Sets}(\mathbf{Nat}(\multimap(a, b), Up), Up(s, t)) \cong \text{(Free Tambara)}$$

$$\int_{p \in \mathbf{Tmb}} \mathbf{Sets}(\mathbf{Tmb}(\Psi \multimap(a, b), p), Up(s, t)) \cong \text{(Yoneda lemma)}$$

$$\Psi \multimap(a, b)(s, t) \cong \text{(Definition of } \Psi \text{)}$$

$$\int^{m \in \mathbf{M}} \int^{x, y \in \mathbf{C}} \mathbf{C}(s, \underline{m}x) \times \mathbf{C}(\underline{m}y, t) \times \multimap(a, b)(x, y) \cong \text{(Yoneda lemma)}$$

$$\int^{m \in \mathbf{M}} \mathbf{C}(s, \underline{m}a) \times \mathbf{C}(\underline{m}b, t)$$

Because  $\Psi \multimap(a, b)(s, t) \cong \mathbf{Nat}(\multimap(s, t), \Psi \multimap(a, b))$ , the category of optics is the full subcategory on representable functors of the Kleisli category for  $\Psi$ .

### Part 3: the Profunctor representation theorem

For an action  $(\_): M \rightarrow [C, C]$ .

(This time in Prof!)

# The bicategory Prof

The bicategory **Prof** has

- 0-cells are (small) categories  $\mathbf{A}, \mathbf{B}, \mathbf{C}, \mathbf{D}, \dots$ , as in **Cat**;
- 1-cells  $\mathbf{C} \rightarrow \mathbf{D}$  are profunctors  $p: \mathbf{C}^{op} \times \mathbf{D} \rightarrow \mathbf{Sets}$ ,
- 2-cells  $p \Rightarrow q$  are natural transformations.

Two profunctors  $p: \mathbf{C} \rightarrow \mathbf{D}$  and  $q: \mathbf{D} \rightarrow \mathbf{E}$  are composed into  $(q \diamond p): \mathbf{C} \rightarrow \mathbf{E}$  with the following (co)end.

$$(q \diamond p)(c, e) = \int^{d \in \mathbf{D}} p(c, d) \times q(d, e).$$

Yoneda lemma makes the hom profunctor  $\text{y}: \mathbf{C}^{op} \times \mathbf{C} \rightarrow \mathbf{Sets}$  the identity.

# The bicategory Prof

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$$\begin{aligned} (q \diamond p)(c, e) &= \int^{d \in \mathbf{D}} p(c, d) \times q(d, e). \\ (Q \circ P)(c, e) &\iff \exists d \in \mathbf{D}. P(c, d) \wedge Q(d, e). \end{aligned}$$

Yoneda lemma makes the hom profunctor  $\text{よ}: \mathbf{C}^{op} \times \mathbf{C} \rightarrow \mathbf{Sets}$  the identity.

A **promonad**  $\psi \in [\mathbf{A}^{op} \times \mathbf{B}, \mathbf{Sets}]$  is a monoid in the bicategory of profunctors.

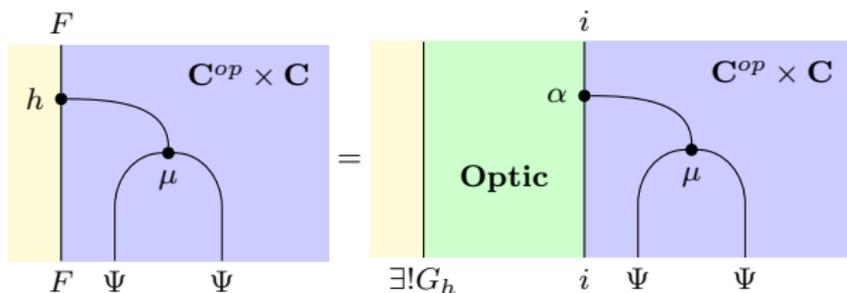
**Lemma (Kleisli construction in Prof, e.g. in Pastro/Street)**

The *Kleisli object* for the promonad,  $\text{Kl}(\psi)$ , is a category with the same objects, but hom-sets given by the promonad,  $\text{Kl}(\psi)(a, b) = \psi(a, b)$ .

For some fixed kind of optic, we can create a category with the same objects as  $\mathbf{C}^{op} \times \mathbf{C}$ , but where morphisms are optics of that kind.

$$\psi((s, t), (a, b)) = \int^{m \in \mathbf{M}} \mathbf{C}(s, \underline{m}a) \times \mathbf{D}(\underline{m}b, t)$$

That is,  $\mathbf{Optic} := \text{Kl}(\psi)$ .



## Theorem (Pastro/Street)

Functors  $[\mathbf{Optic}, \mathbf{Set}]$  are equivalent to right modules on the terminal object for the promonad  $\mathbf{Mod}(\psi)$ , which are algebras for an associated monad.

It follows from the universal property of the Kleisli object that

$$\mathbf{Cat}(\mathbf{Optic}, \mathbf{Set}) \cong \mathbf{Prof}(1, \mathbf{Optic}) \cong \mathbf{Mod}(\psi).$$

# Profunctor representation theorem

## Theorem (Riley 2018, Boisseau/Gibbons 2018, different proof technique)

Optics given by  $\psi$  correspond to parametric functions over profunctors that have (pro)module structure over  $\psi$ .

$$\mathbf{Optic}((a, b), (s, t)) \cong \int_{p \in \mathbf{Mod}(\psi)} p(a, b) \rightarrow p(s, t)$$

*Proof.*

$$\int_{p \in \mathbf{Mod}(\psi)} p(a, b) \rightarrow p(s, t) \cong \quad (\text{lemma})$$

$$\int_{p \in [\mathbf{Optic}, \mathbf{Sets}]} p(a, b) \rightarrow p(s, t) \cong \quad (\text{by definition})$$

$$\mathbf{Nat}(-(a, b), -(s, t)) \cong \quad (\text{Yoneda embedding})$$

$$\mathbf{Nat}(\mathbf{Nat}(\mathbf{Optic}((a, b), \square), -), \mathbf{Nat}(\mathbf{Optic}((s, t), \square), -)) \cong \quad (\text{Yoneda embedding})$$

$$\mathbf{Nat}(\mathbf{Optic}((a, b), \square), \mathbf{Optic}((s, t), \square)) \cong \quad (\text{Yoneda embedding})$$

$$\mathbf{Optic}((s, t), (a, b))$$

- **Optic** is the full subcategory on representable functors of a Kleisli category.
  - In Prof, it is a Kleisli object.
- Tambara modules are algebras for the monad.
  - In Prof, they are (pro)algebras for the promonad. It follows that  $[\mathbf{Optic}, \mathbf{Sets}] \cong \mathbf{Tmb}$ .

### **Part 4: Composition of optics**

## How Haskell composes optics

Given two optics for two actions  $\alpha: \mathbf{M} \rightarrow [\mathbf{C}, \mathbf{C}]$  and  $\beta: \mathbf{N} \rightarrow [\mathbf{C}, \mathbf{C}]$ .

$$\int_{p \in \mathbf{Tmb}(\alpha)} \mathbf{Sets}(p(a, b), p(s, t)), \quad \int_{q \in \mathbf{Tmb}(\beta)} \mathbf{Sets}(q(x, y), q(a, b)).$$

We can *compose* them into a function polymorphic over profunctors that are algebras for both monads.

$$\int_{(p, q) \in \mathbf{Tmb}(\alpha) \times \mathbf{Prof} \mathbf{Tmb}(\beta)} \mathbf{Sets}(p(a, b), p(s, t))$$

In other words, we consider the following pullback.

$$\begin{array}{ccc} \mathbf{Tmb}(\alpha) \times \mathbf{Prof} \mathbf{Tmb}(\beta) & \xrightarrow{\pi} & \mathbf{Tmb}(\alpha) \\ \pi \downarrow & & \downarrow U \\ \mathbf{Tmb}(\beta) & \xrightarrow{U} & \mathbf{Prof} \end{array}$$

### Lemma

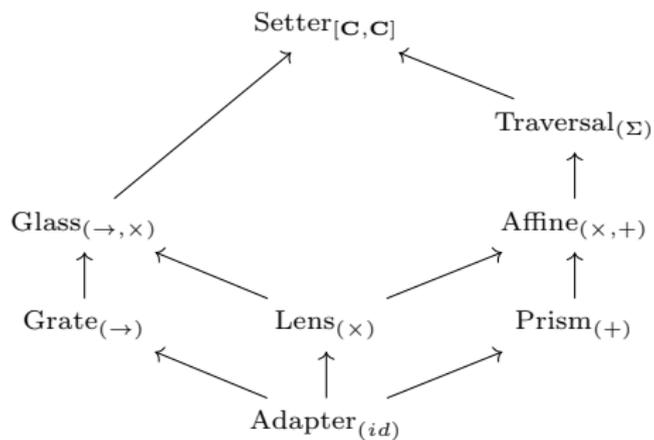
*A pair of Tambara modules  $\text{Tmb}(\alpha)$  and  $\text{Tmb}(\beta)$  over the same profunctor  $p$  is the same as a Tambara module  $\text{Tmb}(\alpha + \beta)$  for the coproduct action  $\alpha + \beta: \mathbf{M} + \mathbf{N} \rightarrow [\mathbf{C}, \mathbf{C}]$ .*

For instance, Haskell would compose lenses and prisms into optics for an action of the following form.

$$a \mapsto c_1 + d_1 \times (c_2 + d_2 \times \dots a)$$

This is usually *projected* into an action of the following form  $a \mapsto c + d \times a$  (replete image of the action) that gives an optic called [affine traversal](#).

With some notion of subcategory of endofunctors (replete subcategories and pseudomonadic functors), we can limit actions to submonoidal categories of  $[\mathbf{C}, \mathbf{C}]$ .



## **Part 5: A case study**

Let  $(\mathbf{M}, \otimes, i)$  be a monoidal category.  $[\mathbf{M}, \mathbf{Sets}]$  is monoidal with [Day convolution](#).

$$(F * G)(m) = \int^{x, y \in \mathbf{M}} \mathbf{M}(x \otimes y, m) \times F(x) \times G(y)$$

Monoids for Day convolution are [lax monoidal functors](#). We can compute free lax monoidal functors as we compute free monoids.

$$F^* = \text{id} + F + F * F + F * F * F + \dots$$

Lax monoidal functors for  $\mathbf{Sets}$  are called [applicative functors](#) [McBride/Paterson].

## The optic for applicative functors

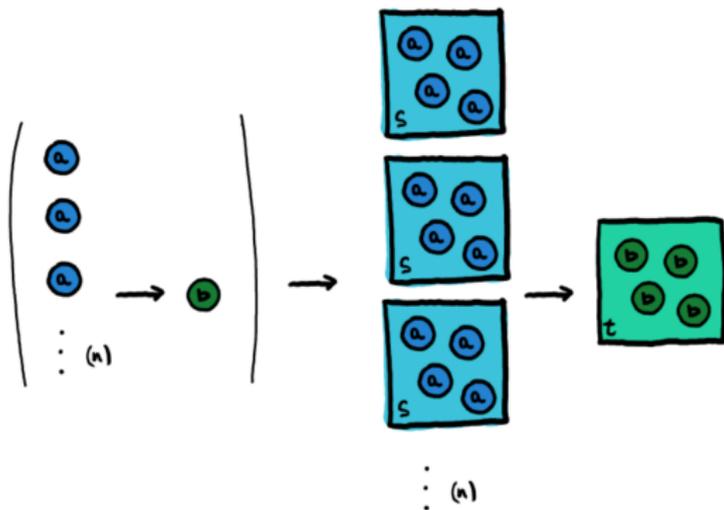
$$\begin{aligned} & \int^{F \in \mathbf{App}} \mathbf{Sets}(s, Fa) \times \mathbf{Sets}(Fb, t) \\ \cong & \quad (\text{Yoneda lemma}) \\ & \int^{F \in \mathbf{App}} \mathbf{Nat}(s \times (a \rightarrow (-)), F) \times \mathbf{Sets}(Fb, t) \\ \cong & \quad (\text{Free-forgetful adjunction for applicative functors}) \\ & \int^{F \in \mathbf{App}} \mathbf{App} \left( \sum_n s^n \times (a^n \rightarrow (-)), F \right) \times \mathbf{Sets}(Fb, t) \\ \cong & \quad (\text{Yoneda lemma}) \\ & \mathbf{Sets} \left( \sum_n s^n \times (a^n \rightarrow b), t \right) \\ \cong & \quad (\text{Continuity}) \\ & \prod_n \mathbf{Sets}(s^n \times (a^n \rightarrow b), t). \end{aligned}$$

*This can be done in general for any functors that can be generated (co)freely.*

# Kaleidoscopes

Kaleidoscopes are optics for the evaluation of applicative functors ,  
 $\mathbf{App} \rightarrow [\mathbf{Sets}, \mathbf{Sets}]$ . They have a concrete description

$$\mathbf{Kaleidoscope} \left( \left( \begin{array}{c} a \\ b \end{array} \right), \left( \begin{array}{c} s \\ t \end{array} \right) \right) = \prod_n \mathbf{Sets} (s^n \times (a^n \rightarrow b), t).$$



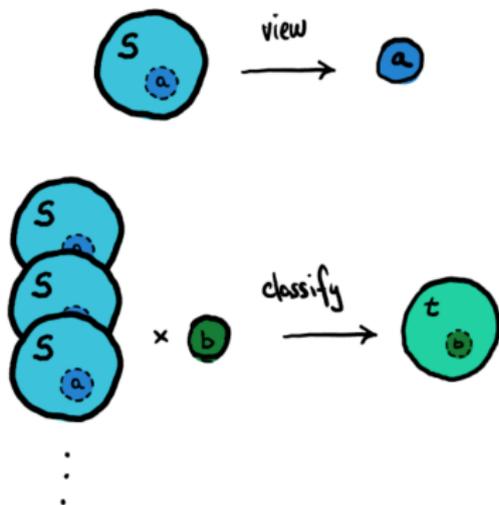
Kaleidoscopes cannot be composed with lenses because  $(c \times -)$  is not lax monoidal. It is lax monoidal when  $c$  is a monoid. We can ask the residual to be a monoid.

$$\begin{aligned} \int^{c \in \mathbf{Mon}} \mathbf{C}(s, c \times a) \times \mathbf{C}(c \times b, t) &\cong \text{(Product)} \\ \int^{c \in \mathbf{Mon}} \mathbf{C}(s, c) \times \mathbf{C}(s, a) \times \mathbf{C}(c \times b, t) &\cong \text{(Free monoid)} \\ \int^{c \in \mathbf{Mon}} \mathbf{Mon}(s^*, c) \times \mathbf{C}(s, a) \times \mathbf{C}(c \times b, t) &\cong \text{(Yoneda lemma)} \\ \mathbf{C}(s, a) \times \mathbf{C}(s^* \times b, t) & \end{aligned}$$

# List-lenses

List lenses are optics for the product by a monoid,  $(\times): \mathbf{Mon} \times \mathbf{Sets} \rightarrow \mathbf{Sets}$ . They have a concrete description

$$\mathbf{ListLens} \left( \left( \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} s \\ t \end{pmatrix} \right) \right) = \mathbf{Sets}(s, a) \times \mathbf{Sets}(s^* \times b, t)$$



List-lenses (unlike general lenses) compose with Kaleidoscopes!

## Example

Take the **iris** dataset. Each entry is a **Flower** given by a species and four real numbers

$$\mathbf{Flower} = \mathbf{Species} \times \mathbb{R}_+^4.$$

5.1, 3.5, 1.4, 0.2, Iris-setosa

4.9, 3.0, 1.4, 0.2, Iris-setosa

4.7, 3.2, 1.3, 0.2, Iris-setosa

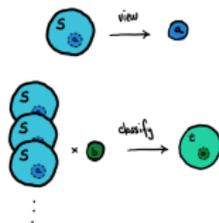
4.6, 3.1, 1.5, 0.2, Iris-setosa

5.0, 3.6, 1.4, 0.2, Iris-setosa

...

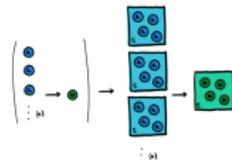
We define a **list-lens** that implements some learning algorithm.

$$\begin{aligned} \mathbf{Flower} &\rightarrow \mathbb{R}_+^4 \\ \mathbf{Flower}^* \times \mathbb{R}_+^4 &\rightarrow \mathbf{Flower} \end{aligned}$$



We define a **Kaleidoscope** that takes an aggregating function on  $\mathbb{R}_+$  and induces a componentwise aggregating function on the 4-tuples  $\mathbb{R}_+^4$ .

$$\prod_{n \in \mathbb{N}} ((\mathbb{R}_+)^n \rightarrow \mathbb{R}_+) \rightarrow ((\mathbb{R}_+^4)^n \rightarrow \mathbb{R}_+^4)$$



## Example

List-lenses are, in particular, [lenses](#); we can use them to *view* the measurements of the first element of our dataset.

```
(iris !! 1)^.measurements
```

```
---- Output ----
```

```
  Sepal length: 4.9
```

```
  Sepal width:  3.0
```

```
  Petal length: 1.4
```

```
  Petal width:  0.2
```

## Example

They are more abstract than a lens in the sense that they can be used to classify some measurements into a new species taking into account all the examples of the dataset.

```
iris ?. measurements (Measurements 4.8 3.1 1.5 0.1)
```

```
---- Output ----
```

```
Flower:
```

```
  Sepal length: 4.8
```

```
  Sepal width:  3.1
```

```
  Petal length: 1.5
```

```
  Petal width:  0.1
```

```
  Species:    Iris setosa -- <<<< Clasifies the species.
```

## Example

List-lenses can be composed with kaleidoscopes. The composition takes an aggregation function and classifies the result

```
iris >- measurements.aggregateWith mean
```

```
---- Output ----
```

```
Flower:
```

```
  Sepal length: 5.843
```

```
  Sepal width:  3.054
```

```
  Petal length: 3.758
```

```
  Petal width:  1.198
```

```
  Species:    Iris versicolor
```

## **Part 4: Summary and further work**

- **Optics**: a zoo of accessors used by programmers [*Kmett, lens library, 2012*].
  - **Concrete representation**: each one is described by some functions.
  - **Existential representation**: unified definition of optics as a coend.
  - Going from concrete to existential cannot be done in general, we look for some way of eliminating the coend.
- **Profunctor optics**: for monoidal actions [*Pastro/Street, 2008*], [*Milewski, 2017*] and general actions [*Boisseau/Gibbons, 2018*].
  - **Profunctor representation**: can be composed easily.
  - Going from **existential** to **profunctor** and back is done in general.
- **Composition of optics**: what do we get when composing two optics.
  - Distributive laws is the obvious choice.
  - In Haskell, we consider coproducts of monads.
  - We get a lattice of optics.

## Related and further work

- **Lawful optics.** Studied by [Riley, 2018].
  - Programmers use **lawful optics**, optics with certain properties.
- **Generalizations:** in which other settings do we get useful results?
  - Enrichments over a cartesian Benabou cosmos  $\mathcal{V}$ .
  - We have extended the theorems for *mixed optics*.
- **Implementation:** developing libraries of optics.
  - A concise library in **Haskell**. <https://github.com/mroman42/vitreia/>
  - Derivations in **Agda / Idris** allow us to extract translation algorithms for optics. Everything we have been doing is constructive.

```
lensDerivation {s} {t} {a} {b} =
  begin
    ((exists c ∈ Set , ((s -> c × a) × (c × b -> t))))           ≐⟨ ≐-coend (λ c -> trivial) ⟩
    ((exists c ∈ Set , (((s -> c) × (s -> a)) × (c × b -> t))))   ≐⟨ ≐-coend (λ c -> trivial) ⟩
    ((exists c ∈ Set , ((s -> c) × (s -> a) × (c × b -> t))))     ≐⟨ yoneda ⟩
    ((s -> a) × (s × b -> t))
  qed
```

**Oles, 1982.** *A category theoretic approach to the semantics of programming languages (PhD thesis)*. Defines lenses for the first time.

**Kmett, 2012.** *Lens library*. Implements optics in Haskell.

**Pickerings/Gibbons/Wu, 2016.** *Profunctor optics: modular data accessors*. Derives lenses, prisms, adapters and traversals in Haskell.

**Milewski, 2017.** *Profunctor optics, the categorical view*. Tambara modules for lenses and prisms.

**Boisseau/Gibbons, 2018.** *What you needa know about Yoneda*. General definition of optics and a general profunctor representation theorem. Traversal as the optic for traversables.

**Riley, 2018.** *Categories of optics*. General framework for obtaining laws for the optics.